# Press Releases, Stock Information, Corporate Information

# Press Releases (From April 1, 2020 to May 31, 2021)

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See	the	Santen	website	for	all	press	release <u>s.</u>	



news/2021_1.jsp	

Business Development	May 19	New collaboration with Glaukos to develop and commercialize STN2000100 (DE-128) in the Americas, Australia and New Zealand
Sustainability	May 18	Establishment of "Santen Vision for the Earth 2050"
Sustainability	May 17	Joined the "30% Club Japan" to increase gender diversity Becoming a signatory of the "UN Women's Empowerment Principles"
Management	May 11	Postponement of transition to a holding company structure through sole-share transfer and change in Fiscal Year
Management	Apr. 22	Commenced the construction of new factory in Suzhou, China
Management	Apr. 9	Notice of recording of impairment loss and revision of Full-Year earnings forecasts
Management	Feb. 4	Commencement of preparation for transition to a holding company structure through sole-share transfer and change in Fiscal Year
Products	Feb. 2	U.S. FDA acceptance of new drug application for STN1011700 (DE-117) as a treatment for patients with glaucoma and ocular hypertension
Products	Feb. 1	Launch of glaucoma and ocular hypertension treatment <i>EYBELIS</i> <i>Ophthalmic Solution 0.002%</i> in South Korea
Sustainability	Jan. 7	Santen joins the Valuable 500 International Initiative promoting the active participation of people with disabilities
2020		
Products	Nov. 5	Santen releases <i>LENTIS Comfort Toric</i> in Japan, a multifocal IOL covered under the Japanese health insurance program for cataract patients with astigmatism
Business Development	Oct. 28	Santen and Aerie conclude exclusive license agreement for <i>Rhopressa</i> and <i>Rocklatan</i> in Japan and several other Asian countries
Sustainability	Oct. 28	Santen, JBFA and IBF Foundation form a 10-Year, long-term partnership for an inclusive society
Management	0+ 00	Establishment of holding company in China

Business Development	Oct. 8	Santen and Orbis International announce long-term partnership to tackle the increasing burden of eye diseases			
Management	Sep. 17	Santen and U.S. ophthalmic company Eyevance enter into share purchase agreement			
Sustainability	Sep. 10	Santen decides to offer special sponsorship for continued activities of men's and women's National Blind Football Teams			
Sustainability	Aug. 11	Santen and International Blind Sports Federation forge partnership through blind sports			
Business Development	Jul. 28	Santen and RVL Pharmaceuticals, Inc., an Osmotica Company, enter into an exclusive license agreement in Japan, Asia, and EMEA for RVL-1201, a treatment for acquired blepharoptosis			
Products	Jul. 7	U.S. FDA acceptance of Premarket Approval (PMA) application for STN2000100 (DE-128) for review			
Management	Jul. 7	Santen introduces its new corporate brand name notation and corporate slogan aiming to grow on a globally, going beyond the pharmaceutical industry			
Management	Jul. 7	Announcement of new vision aiming to resolve social issues related to the eye health of people around the world			
Business Development	Jun. 30	Strategic alliance with Plano to tackle the global burden of myopia			
Business Development	Jun. 18	Santen and Orbis International join forces to expand access to training for eye care professionals through telemedicine platform			
Products	Jun. 5	Bayer Yakuhin and Santen launch EYLEA Intravitreal Injection KIT 40mg/mL, pre-filled syringe of intravitreal VEGF inhibitor EYLEA			
Business Development	May 8	Santen and jCyte conclude an exclusive licensing contract in Japan, Asia and Europe for the jCell therapy program for retinitis pigmentosa			
Sustainability	Apr. 28	Support for people with visual impairments facing the COVID-19 pandemic, together with the Japan Blind Football Association			
Sustainability	Apr. 27	Santen introduces eco-friendly biomass plastic eyedrop bottles			

https://www.santen.com/en/r

0.3%

- Securities firms 0.2%

Other institutions 0.6%

#### Major Shareholders (Top 10)

Name / Company Name	Number of Shares Owned (1,000 shares)	Holding Ratio (%)
STATE STREET BANK AND TRUST COMPANY 505223	33,829	8.4
Custody Bank of Japan, Ltd. (Trust account)	33,252	8.3
The Master Trust Bank of Japan, Ltd. (Trust account)	31,781	7.9
Nippon Life Insurance Company	10,662	2.7
MUFG Bank, Ltd.	10,605	2.6
Ono Pharmaceutical Co., Ltd.	8,790	2.2
Custody Bank of Japan, Ltd. (Trust account 7)	8,599	2.1
Custody Bank of Japan, Ltd. as trustee for Eisai Co., Ltd. Retirement Benefit Trust Account re-entrusted by Mizuho Trust & Banking Co., Ltd.	6,863	1.7
RBC IST 15 PCT LENDING ACCOUNT - CLIENT ACCOUNT	6,654	1.7
STATE STREET BANK WEST CLIENT - TREATY 505234	6,303	1.6

## Yearly High and Low Prices (JPY)

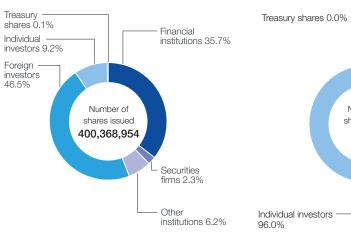
FY	2016	2017	2018	2019	2020
High	1,749	1,946	2,061	2,234	2,216
Low	1,251	1,463	1,450	1,408	1,370

#### **Total Shareholders Return**

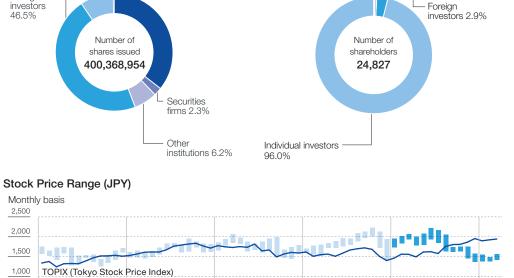
FY	2016	2017	2018	2019	2020
Total shareholders return (%)	96.8	104.4	102.0	115.9	97.8
Comparative index: TOPIX including dividends (%)	114.7	132.9	126.2	114.2	162.3

# **Composition of Shareholders**

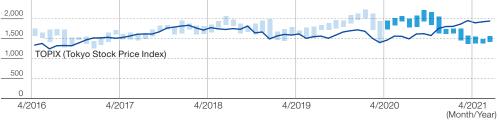
# By number of shares



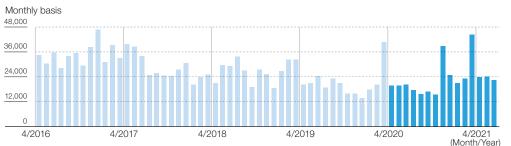
# By number of shareholders Financial institutions



#### Monthly basis 2,500



## Trading Volume (Thousands of shares)



# Corporate Information (As of March 31, 2021)

Sustainability Website

https://www.santen.com/en/

sustainability/

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Construction of Environment

(subsection of corporate websit

Santon Pharmacoutical Co. Ltd	Number of Employees	4,229 (consolidated)	
,	Number of Shares Issued	400,368,954 24,827 Tokyo Stock Exchange	
4-20 Ofuka-cho, Kita-ku, Osaka 530-8552, Japan	Number of Shareholders		
TEL: +81-6-7664-8621	Stock Exchange Listing		
https://www.santen.com/en/	Ticker Code	4536	
	Shareholder Registry	Mitsubishi UFJ Trust and Banking Corporation	
1890	Administrator		
JPY 8,525 million	Transfer Agent	6-3, Fushimi-cho 3-chome, Chuo-ku, Osaka 541-8502, Japan	
	TEL: +81-6-7664-8621 https://www.santen.com/en/ 1890	Santen Pharmaceutical Co., Ltd. Grand Front Osaka Tower A, 4-20 Ofuka-cho, Kita-ku, Osaka 530-8552, Japan TEL: +81-6-7664-8621 https://www.santen.com/en/ 1890 Ticker Code Shareholder Registry Administrator Transfer Agent	

## Website

Please refer to Santen's website for more detailed information on each section of this report.

Corporate Website

https://www.santen.com/en/



• IR Website (subsection of corporate website) https://www.santen.com/en/ir/

#### Inclusion in ESG Indexes

Index Name	Overview	Index Name	Overview
FTSE4Good Index Series	Index Series developed by FTSE Russell of the U.K. The index series	MSCI Japan Empowering Women Index (WIN)	An index developed by MSCI of the U.S. The index selects companies in various sectors that excel in
FTSE4Good	selects companies based on evaluations of their initiatives in the areas of Environmental, Social and Governance (ESG) activities.	2021 CONSTITUENT MSCI JAPAN EMPOWERING WOMEN INDEX (WIN)	and the sectors that excern in gender diversity from among the top 700 Japanese stocks by market capitalization.
FTSE Blossom		SOMPO Sustainability	An index composed of corporations
Japan Index	A broad index developed by FTSE Russell of the U.K. The index incorporates overall ESG considerations.	Index	that achieved above-standard ESG scores in corporate surveys conducted
the second second		2021	by Sompo Risk Management & Health Care Inc. (in terms of E: environmenta assessments) and by Integrex Inc. (in
FTSE Blossom Japan		Sompo Sustainability Index	terms of S and G: social and corporate governance assessments).
MSCI Japan ESG		S&P/JPX Carbon	
Select Leaders Index	An index developed by MSCI of the U.S. The index selects companies based on high ESG ratings in their	Efficient Index S&P/JPX Carbon Efficient	An index developed by S&P Dow Jones Indices of the U.S. The index evaluates corporate performance in terms of disclosure
ESG SELECT LEADERS INDEX	respective industries.	Index	of information carbon efficiency.

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"FTSE Hussell (the trading name of FTSE International Limited and Frank Russell Company) confirm that Santen Pharmacoeutical has been independently assessed according to the FTSE4 Good order(a, and has satisfied the requirements to become a constituent of the FTSE4Good Index Series. Created by the global index provider FTSE Russell, the FTSE4Good Index Series is designed to measure the performance of companies demonstrating strong Environmental, Social and Governance (ESG) practices. The FTSE4Good Index are used by a wide variety of market participants to create and assesser responsible investment funds and other products."

"FTSE Pussell (the trading name of FTSE International Limited and Frank Russell Company) confirm that Santen Pharmaceutical has been independently assessed according to the index orteria, and has satisfied the requirements to become a constituent of the FTSE Blossom Index Series. Created by the global index provider FTSE Russell, the FTSE Blossom Index Series is designed to measure the performance of companies demonstrating strong Environmental, Social and Governance (ESG) practices. The FTSE Blossom Index are used by a wide variety of market participants to create and assess responsible investment funds and other products."

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